

Investor Complaints Data

Name of Merchant Banker: Elara Capital (India) Private Limited

SEBI Registration Number: INM000011104

Category: Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS)

Data for month ending – September 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	0	0	0
4	August 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the Particular year
1	2021	0	0	0	0
2	2022	0	3	2	1*
3	2023	1	2	3	0
4	2024	0	0	0	0
5	2025				
	Grand Total	1	5	5	1

* The Complaint was received on December 23, 2022 and ATR submitted with SEBI on January 02, 2023

^ This number indicates complaints received till the month of September 2024 only. The data will be updated as and when the future months passes.

Investor Complaints Data

Name of Merchant Banker: Elara Capital (India) Private Limited

SEBI Registration Number: INM000011104

Category: Initial Public Offer (IPO) of Debt Securities

Data for month ending – September 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	0	0	0	0
2	June 2024	0	1	1	0
3	July 2024	0	0	0	0
4	August 2024	0	1	1	0
5	September 2024	0	0	0	0
	Grand Total	0	2	2	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the Particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	1	0	1**
4	2024	1**	5	6	0
5	2025				
	Grand Total	1	6	6	1

** The Complaint was received on December 29, 2023 and resolved on January 11, 2024.

^ This number indicates complaints received till the month of September 2024 only. The data will be updated as and when the future months passes.

Investor Complaints Data

Name of Merchant Banker: Elara Capital (India) Private Limited

SEBI Registration Number: INM000011104

Category: Private Placement of Debt Securities

Data for month ending – September 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	0	0	0
4	August 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the Particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0 [^]
5	2025				
	Grand Total	0	0	0	0

[^] This number indicates complaints received till the month of September 2024 only. The data will be updated as and when the future months passes.

Investor Complaints Data

Name of Merchant Banker: Elara Capital (India) Private Limited

SEBI Registration Number: INM000011104

Category: Rights Issue

Data for month ending – September 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	0	0	0
4	August 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the Particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0 [^]
5	2025				
	Grand Total	0	0	0	0

[^] This number indicates complaints received till the month of September 2024 only. The data will be updated as and when the future months passes.

Investor Complaints Data

Name of Merchant Banker: Elara Capital (India) Private Limited

SEBI Registration Number: INM000011104

Category: Qualified Institutions Placement (QIP)

Data for month ending – September 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	0	0	0
4	August 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0 [^]
5	2025				
	Grand Total	0	0	0	0

[^] This number indicates complaints received till the month of September 2024 only. The data will be updated as and when the future months passes.

Investor Complaints Data

Name of Merchant Banker: Elara Capital (India) Private Limited

SEBI Registration Number: INM000011104

Category: Preferential Issue

Data for month ending – September 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	0	0	0
4	August 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0 [^]
5	2025				
	Grand Total	0	0	0	0

[^] This number indicates complaints received till the month of September 2024 only. The data will be updated as and when the future months passes.

Investor Complaints Data

Name of Merchant Banker: Elara Capital (India) Private Limited

SEBI Registration Number: INM000011104

Category: SME IPO and FPO including OFS

Data for month ending – September 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	0	0	0
4	August 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0 [^]
5	2025				
	Grand Total	0	0	0	0

[^] This number indicates complaints received till the month of September 2024 only. The data will be updated as and when the future months passes.

Investor Complaints Data

Name of Merchant Banker: Elara Capital (India) Private Limited

SEBI Registration Number: INM000011104

Category: Buyback of Securities

Data for month ending – September 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	0	0	0
4	August 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	11	11	0
4	2024	0	0	0	0 [^]
5	2025				
	Grand Total	0	11	11	0

[^] This number indicates complaints received till the month of September 2024 only. The data will be updated as and when the future months passes.

Investor Complaints Data

Name of Merchant Banker: Elara Capital (India) Private Limited

SEBI Registration Number: INM000011104

Category: Delisting of Equity Shares

Data for month ending – September 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	0	0	0
4	August 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0 [^]
5	2025				
	Grand Total	0	0	0	0

[^] This number indicates complaints received till the month of September 2024 only. The data will be updated as and when the future months passes.

Investor Complaints Data

Name of Merchant Banker: Elara Capital (India) Private Limited

SEBI Registration Number: INM000011104

Category: Substantial Acquisitions of Shares and Takeovers

Data for month ending – September 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	0	0	0	0
2	June 2024	0	0	0	0
3	July 2024	0	0	0	0
4	August 2024	0	0	0	0
5	September 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0^
5	2025				
	Grand Total	0	0	0	0

^ This number indicates complaints received till the month of September 2024 only. The data will be updated as and when the future months passes.

Investor Complaints Data

Name of Merchant Banker: Elara Capital (India) Private Limited

SEBI Registration Number: INM000011104

Category: Consolidated Report (Primary Market Issues and Exit Offers^{\$\$})

Data for month ending – September 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	May 2024	0	0	0	0
2	June 2024	0	1	1	0
3	July 2024	0	0	0	0
4	August 2024	0	1	1	0
5	September 2024	0	0	0	0
	Grand Total	0	2	2	0

^{\$\$} includes Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS); Initial Public Offer (IPO) of Debt Securities; Private Placement of Debt Securities; Rights Issue; Qualified Institutions Placement (QIP); Preferential Issue; SME IPO and FPO including OFS; Buyback of Securities; Delisting of Equity Shares; Substantial Acquisitions of Shares and Takeovers.

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current

month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	3	2	1 ^{##}
3	2023	1	14	14	1 ^{**}
4	2024	1 ^{**}	5	6	0 [^]
5	2025				
	Grand Total	2	22	22	2

^{##} The Complaint was received on December 23, 2022 and ATR submitted with SEBI on January 02, 2023

^{**} The Complaint was received on December 29, 2023 and resolved on January 11, 2024.

[^] This number indicates complaints received till the month of September 2024 only. The data will be updated as and when the future months passes.

Notes:

1. Elara Capital (India) Private Limited in its capacity as the SEBI registered Merchant Banker has not managed any Public issue of non-convertible redeemable preference shares since inception and therefore, no data has been disclosed.
2. Elara Capital (India) Private Limited in its capacity as the SEBI registered Merchant Banker has not managed any Private placement of non-convertible redeemable preference shares since inception and therefore, no data has been disclosed.
3. Elara Capital (India) Private Limited in its capacity as the SEBI registered Merchant Banker has not managed any Private placements of Municipal debt securities since inception and therefore, no data has been disclosed.
4. Elara Capital (India) Private Limited in its capacity as the SEBI registered Merchant Banker has not managed any Public offer of units by REITs since inception and therefore, no data has been disclosed.
5. Elara Capital (India) Private Limited in its capacity as the SEBI registered Merchant Banker has not managed any Public offer of units by InvITs since inception and therefore, no data has been disclosed.
6. Elara Capital (India) Private Limited in its capacity as the SEBI registered Merchant Banker has not managed any Private Placement of units by InvITs since inception and therefore, no data has been disclosed.