Name of Merchant Banker: Elara Capital (India) Private Limited

**SEBI Registration Number:** INM000011104

Category: Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for

Sale (OFS)

Data for month ending – June 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	February 2024	0	0	0	0
2	March 2024	0	0	0	0
3	April 2024	0	0	0	0
4	May 2024	0	0	0	0
5	June 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the Particular year
1	2021	0	0	0	0
2	2022	0	3	2	1*
3	2023	1	2	3	0
4	2024	0	0	0	0
5	2025				
	Grand Total	1	5	5	1

<sup>\*</sup> The Complaint was received on December 23, 2022 and ATR submitted with SEBI on January 02, 2023
^ This number indicates complaints received till the month of June 2024 only. The data will be updated as and when the future months passes.

Name of Merchant Banker: Elara Capital (India) Private Limited

**SEBI Registration Number:** INM000011104

Category: Initial Public Offer (IPO) of Debt Securities

Data for month ending – June 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	1	1	0	0	1
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	1	1	0	0	1

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month#
1	February 2024	1#	1	1	1\$
2	March 2024	1\$	1##	1	1##
3	April 2024	1##	0	1##	0
4	May 2024	0	0	0	0
5	June 2024	0	1	1	0
	Grand Total	3	3	4	2

<sup>#</sup> The Complaint was received on January 05, 2024 and resolved on February 07, 2024.

<sup>\$</sup> The Complaint was received on February 21, 2024 and resolved on March 21, 2024.

<sup>##</sup> The Complaint was received on March 20, 2024 and resolved on April 03, 2024.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the Particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	1	0	1**
4	2024	1**	4	5	0
5	2025				
	Grand Total	1	5	5	1

<sup>\*\*</sup> The Complaint was received on December 29, 2023 and resolved on January 11, 2024.

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

<sup>^</sup> This number indicates complaints received till the month of June 2024 only. The data will be updated as and when the future months passes.

Name of Merchant Banker: Elara Capital (India) Private Limited

**SEBI Registration Number:** INM000011104

Category: Private Placement of Debt Securities

Data for month ending - June 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	February 2024	0	0	0	0
2	March 2024	0	0	0	0
3	April 2024	0	0	0	0
4	May 2024	0	0	0	0
5	June 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the Particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0^
5	2025				
	Grand Total	0	0	0	0

<sup>^</sup> This number indicates complaints received till the month of June 2024 only. The data will be updated as and when the future months passes.

Name of Merchant Banker: Elara Capital (India) Private Limited

**SEBI Registration Number:** INM000011104

Category: Rights Issue

**Data for month ending –** June 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	February 2024	0	0	0	0
2	March 2024	0	0	0	0
3	April 2024	0	0	0	0
4	May 2024	0	0	0	0
5	June 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the Particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0^
5	2025				
	Grand Total	0	0	0	0

<sup>^</sup> This number indicates complaints received till the month of June 2024 only. The data will be updated as and when the future months passes.

Name of Merchant Banker: Elara Capital (India) Private Limited

**SEBI Registration Number:** INM000011104

Category: Qualified Institutions Placement (QIP)

#### **Data for month ending –** June 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	February 2024	0	0	0	0
2	March 2024	0	0	0	0
3	April 2024	0	0	0	0
4	May 2024	0	0	0	0
5	June 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the endof the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0^
5	2025				
	<b>Grand Total</b>	0	0	0	0

<sup>^</sup> This number indicates complaints received till the month of June 2024 only. The data will be updated as and when the future months passes.

Name of Merchant Banker: Elara Capital (India) Private Limited

SEBI Registration Number: INM000011104

Category: Preferential Issue

Data for month ending – June 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	February 2024	0	0	0	0
2	March 2024	0	0	0	0
3	April 2024	0	0	0	0
4	May 2024	0	0	0	0
5	June 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0^
5	2025				
	<b>Grand Total</b>	0	0	0	0

<sup>^</sup> This number indicates complaints received till the month of June 2024 only. The data will be updated as and when the future months passes.

Name of Merchant Banker: Elara Capital (India) Private Limited

SEBI Registration Number: INM000011104

Category: SME IPO and FPO including OFS

**Data for month ending –** June 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	February 2024	0	0	0	0
2	March 2024	0	0	0	0
3	April 2024	0	0	0	0
4	May 2024	0	0	0	0
5	June 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0^
5	2025				
	<b>Grand Total</b>	0	0	0	0

<sup>^</sup> This number indicates complaints received till the month of June 2024 only. The data will be updated as and when the future months passes.

Name of Merchant Banker: Elara Capital (India) Private Limited

SEBI Registration Number: INM000011104

Category: Buyback of Securities

Data for month ending - June 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

SN	Month	Carried forward from previous month		Resolved during the particular month *	Pending at the end of the particular month #
1	February 2024	0	0	0	0
2	March 2024	0	0	0	0
3	April 2024	0	0	0	0
4	May 2024	0	0	0	0
5	June 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	11	11	0
4	2024	0	0	0	0^
5	2025				
	Grand Total	0	11	11	0

<sup>^</sup> This number indicates complaints received till the month of June 2024 only. The data will be updated as and when the future months passes.

Name of Merchant Banker: Elara Capital (India) Private Limited

**SEBI Registration Number:** INM000011104

Category: Delisting of Equity Shares

**Data for month ending –** June 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	February 2024	0	0	0	0
2	March 2024	0	0	0	0
3	April 2024	0	0	0	0
4	May 2024	0	0	0	0
5	June 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0^
5	2025				
	Grand Total	0	0	0	0

<sup>^</sup> This number indicates complaints received till the month of June 2024 only. The data will be updated as and when the future months passes.

Name of Merchant Banker: Elara Capital (India) Private Limited

**SEBI Registration Number:** INM000011104

**Category:** Substantial Acquisitions of Shares and Takeovers

**Data for month ending –** June 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

#### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	February 2024	0	0	0	0
2	March 2024	0	0	0	0
3	April 2024	0	0	0	0
4	May 2024	0	0	0	0
5	June 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0^
5	2025				
	Grand Total	0	0	0	0

<sup>^</sup> This number indicates complaints received till the month of June 2024 only. The data will be updated as and when the future months passes.

Name of Merchant Banker: Elara Capital (India) Private Limited

SEBI Registration Number: INM000011104

Category: Consolidated Report (Primary Market Issues and Exit Offers\$\$)

Data for month ending - June 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	1	1	0	0	1
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	1	1	0	0	1

SN	Month	Carried forward from previous month	Received during the particular month	luring the during the particular	
1	February 2024	1	1	1	1\$
2	March 2024	1\$	1\$ 1##		1##
3	April 2024	1##	0	1	0
4	May 2024	0	0	0	0
5	June 2024	0	1	1	0
	Grand Total	3	3	4	2

<sup>#</sup> The Complaint was received on January 05, 2024 and resolved on February 07, 2024.

<sup>\$</sup> The Complaint was received on February 21, 2024 and resolved on March 21, 2024.

<sup>##</sup> The Complaint was received on March 20, 2024 and resolved on April 03, 2024.

ss includes Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS); Initial Public Offer (IPO) of Debt Securities; Private Placement of Debt Securities; Rights Issue; Qualified Institutions Placement (QIP); Preferential Issue; SME IPO and FPO including OFS; Buyback of Securities;

Delisting of Equity Shares; Substantial Acquisitions of Shares and Takeovers.

### <u>Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-</u>

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the endof the particular year
1	2021	0	0	0	0
2	2022	0	3	2	1##
3	2023	1	14	14	1**
4	2024	1**	4	5	0^
5	2025				
	Grand Total	2	22	21	2

<sup>##</sup>The Complaint was received on December 23, 2022 and ATR submitted with SEBI on January 02, 2023

#### Notes:

- 1. Elara Capital (India) Private Limited in its capacity as the SEBI registered Merchant Banker has not managed any Public issue of non-convertible redeemable preference shares since inception and therefore, no data has been disclosed.
- 2. Elara Capital (India) Private Limited in its capacity as the SEBI registered Merchant Banker has not managed any Private placement of non-convertible redeemable preference shares since inception and therefore, no data has been disclosed.
- 3. Elara Capital (India) Private Limited in its capacity as the SEBI registered Merchant Banker has not managed any Private placements of Municipal debt securities since inception and therefore, no data has been disclosed.
- 4. Elara Capital (India) Private Limited in its capacity as the SEBI registered Merchant Banker has not managed any Public offer of units by REITs since inception and therefore, no data has been disclosed.
- 5. Elara Capital (India) Private Limited in its capacity as the SEBI registered Merchant Banker has not managed any Public offer of units by InvITs since inception and therefore, no data has been disclosed.
- Elara Capital (India) Private Limited in its capacity as the SEBI registered Merchant Banker has not managed any Private Placement of units by InvITs since inception and therefore, no data has been disclosed.

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

<sup>\*\*</sup> The Complaint was received on December 29, 2023 and resolved on January 11, 2024.

<sup>§</sup> The Complaint was received on March 20, 2024 and resolved on April 03, 2024.

<sup>^</sup> This number indicates complaints received till the month of May 2024 only. The data will be updated as and when the future months passes.